## Indcap Advisors Private Limited

Investors Complaints Data - November 2023

Data for every month ending

| S. | Received from <br> No | Pending <br> as at <br> the end <br> of last <br> month | Received <br> during <br> the <br> particular <br> month | Resolved <br> during <br> the <br> particular <br> month* | Total <br> Pending <br> during <br> the <br> particular <br> month \# | Pending <br> complaints <br> $>1$ month | Average        <br> Resolution        <br> time^\} $\\ {\text { (in days) }}$        <br> 1 Directly from Investors NIL NIL NIL NIL NIL NIL <br> 2 SEBI (SCORES) NIL NIL NIL NIL NIL NIL <br> 3 Stock Exchanges (if relevant) NIL NIL NIL NIL NIL NIL <br> 4 Other Sources (if any) NIL NIL NIL NIL NIL NIL <br> $\mathbf{5}$ Grand Total NIL NIL NIL NIL NIL NIL |
| :--- | :--- | :---: | :---: | :---: | :---: | :---: | :---: |

Trend of monthly disposal of complaints (For 5 months on rolling basis) -

| S. No | Month | Carried forward <br> from previous <br> month | Received during <br> the particular <br> month | Resolved during <br> the particular <br> month* | Pending at the <br> end of the <br> particular <br> month \# |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | July 2023 | NA** $^{* *}$ | NA** $^{* *}$ | NA** $^{* *}$ | NA** $^{* *}$ |
| 2 | August 2023 | NA | NA | NA | NA |
| 3 | September 2023 | NIL | NIL | NIL | NIL |
| 4 | October 2023 | NIL | NIL | NIL | NIL |
| 5 | November 2023 | NIL | NIL | NIL | NIL |
| 6 | Grand Total | NIL | NIL | NIL | NIL |

$\wedge$ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.
\#Inclusive of complaints pending as on the last day of the month
**Indcap Advisors Pvt. Ltd. has received its Merchant Banking registration on 31-07-2023 only and accordingly the data for the months preceding the registration date are not applicable for us.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) -

| S. No | Month | Carried forward <br> from previous <br> year | Received during <br> the particular <br> year | Resolved during <br> the particular <br> year | Pending at the <br> end of the <br> particular year |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2019 | NA | NA | NA | NA |
| 2 | 2020 | NA | NA | NA | NA |
| 3 | 2021 | NA | NA | NA | NA |
| 4 | 2022 | NA | NA | NA | NA |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| 6 | Grand Total | NIL | NIL | NIL | NIL |

