Indcap Advisors Private Limited

Investors Complaints Data – September 2023

Data for every month ending

S. No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis) -

S. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May 2023	NA**	NA**	NA**	NA**
2	June 2023	NA**	NA**	NA**	NA**
3	July 2023	NIL	NIL	NIL	NIL
4	August 2023	NIL	NIL	NIL	NIL
5	September 2023	NIL	NIL	NIL	NIL
6	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) -

S. No	Month	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019	NA	NA	NA	NA
2	2020	NA	NA	NA	NA
3	2021	NA	NA	NA	NA
4	2022	NA	NA	NA	NA
5	2023	NIL	NIL	NIL	NIL
6	Grand Total	NIL	NIL	NIL	NIL

^{*} Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month

^{**}Indcap Advisors Pvt. Ltd. has received its Merchant Banking registration on 31-07-2023 only and accordingly the data for the months preceding the registration date are not applicable for us.